

12-13-00

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UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. <u>A33025-066680.0111</u>

First Named Inventor Richard Barrington

Express Mail Label No. <u>EK839855400US</u>

December 12, 2000

BY EXPRESS MAIL - Label No. EK839855400US

Assistant Commissioner for Patents Box Patent Application Washington, DC 20231

"This is a

Sir:

Enclosed herewith for filing is a patent application of Richard Barrington, et al. entitled PROCESS, SYSTEM AND FINANCIAL PLANNING ENGINE FOR DETERMINING AT LEAST ONE FINANCIAL INDICATOR FOR USE IN ACHIEVING A PARTICULAR FINANCIAL GOAL which includes:

[x] Specification	16 Total Pages
[x] Claims	8 Total Pages
[x] Abstract	1 Total Pages
[x] Drawing(s)	7 Total Sheets
_ formal	
x informal	

[x] Combined Declaration and Power of Attorney

3 Total Pages

[] continuation-in-part

[x] Newly executed (original or copy)

of copending application Serial No. _ filed _."

[] Copy from a prior application (for continuation/divisional only - must be filed to avoid surcharge for late filing)

If a continuing application, check appropriate box:

[] Continuation [] Divisional [] Continuation-In-Part (CIP) of prior application No. ___

[] Amend the specification by inserting, before the first line, the following sentence:

[] continuation [] divisional

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- [x] is attached. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
- [] will follow.
- [] has been filed in the prior application
- [] Small Entity Statement(s) ENCLOSED.
- [] Small Entity Statement filed in prior application. Status still proper and desired.
- [] Information Disclosure Statement (IDS) PTO-1449
 - [] Copies of IDS Citations.
- [] Preliminary Amendment
- [x] Return Receipt Postcard
- [] Other
- [] Cancel in this application original claims _ of the prior application before calculating the filing fee.

The filing fee has been calculated as shown below:

									Other	Than A
	(Col. 1)			(Col. 2)		Sma)	ll Entity		Smal	1 Entity
<u>FOR</u>	No.Filed			No. Extra		Rate	<u>Fee</u>	OR	Rate	<u>Fee</u>
Basic Fee							\$355.00			\$710.00
Total Claims	35	-20	=	15	x	9 =	\$0.00		x 18 =	\$270.00
Ind. Claims	3	-3	=	0	x	40 =	\$0.00		x 80 =	\$0.00
Multiple Depend	lent Claim				+	135 =			+ 270 =	
					,	Total	<u>\$0.00</u>			\$980,00

* If the difference in Col. 1 is less than zero, enter "0" in Col. 2.

Fee Payment Being Made:

[x] Enclosed

[x]	Basic filing fee	\$980.00
[x]	Recording Assignment [\$40.00; 37 CFR 1.21(h)]	\$40.00
	Total Fees Enclosed	\$1,020.00

[x] A check in the amount of \$1,020.00 to cover filing fee and assignment recordation fee is enclosed.

Enclosures

Attorney Docket No. <u>A33025-066680.0111</u>

Priority	Division of the state of the st				
	Priority of application Country _, Appln. No filed _ is claimed under 35 U.S.C. 119.				
	Certified Copy of Priority Document(s) Country _, Appln No, filed				
	[] is/are attached [] will follow [] has been filed in the parent application S/N				
[X]					
	37 CFR 1.16, 1.17, and 1.21(h) associated with this communication or credit any overpayment to Deposit Account No. 02-4377. Two copies of this sheet are enclosed.				
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	BAKER BOTTS L.L.P.				
	By 6				
	Gary Abelev				
	PTO Registration No. 40,479				